

Harbor Convertible Securities Fund

PORTFOLIO HOLDINGS

As of 09/30/2022

Subadviser: Shenkman Capital Management, Inc. (Convertible Securities) - Since 05/01/2011

Portfolio Managers: Mark R. Shenkman, Justin W. Slatky, Jordan N. Barrow, CFA, Thomas Whitley, CFA

Ticker Symbol	Asset Description	Maturity Date	Shares/Par/Contracts	Current Base Price \$	Base Market Value \$	% of Total Net Assets
	BioMarin Pharmaceutical Inc.	08/01/2024	2,765,000	99.33	2,746,564	1.76
CYBR5083123	CYBERARK SOFTWARE LTD 11/24 0	11/15/2024	2,275,000	114.25	2,599,188	1.66
	Akamai Technologies Inc.	09/01/2027	2,633,000	93.55	2,463,172	1.58
IART5128459	INTEGRA LIFESCIENCES HOLDINGS 08/25 0.5	08/15/2025	2,718,000	87.72	2,384,168	1.52
MCHP5090202	MICROCHIP TECHNOLOGY INC SR SUBORDINA 11/24 0.125	11/15/2024	2,193,000	101.76	2,231,489	1.43
DISH4532126	Dish Network Corp.	08/15/2026	3,061,000	69.05	2,113,621	1.35
	BLOCK INC SR UNSECURED 03/25 0.125	03/01/2025	2,276,000	91.13	2,074,005	1.33
NUVA5157058	NUVASIVE INC SR UNSECURED 03/25 0.375	03/15/2025	2,341,000	87.44	2,046,912	1.31
ENV5434199	ENVESTNET INC 08/25 0.75	08/15/2025	2,421,000	84.13	2,036,666	1.30
IMAX5393512	IMAX CORP 04/26 0.5	04/01/2026	2,405,000	82.88	1,993,264	1.27
MTN5327914	VAIL RESORTS INC SR UNSECURED 01/26 0.00000	01/01/2026	2,235,000	87.56	1,957,022	1.25
LMCA4606916	Liberty Media Corp.	03/31/2048	2,042,000	94.25	1,924,585	1.23
	PEGASYSTEMS INC SR NT 0.75 25 03/25 0.75	03/01/2025	2,348,000	81.38	1,910,685	1.22
QTWO5009899	Q2 Holdings Inc.	06/01/2026	2,398,000	79.55	1,907,609	1.22
FIVN5219959	FIVE9 INC SR UNSECURED 06/25 0.5	06/01/2025	2,075,000	90.95	1,887,213	1.21
JCOM4911636	j2 Global Inc.	11/01/2026	2,005,000	92.85	1,861,643	1.19
	FORD MTR CO DEL 03/26 0	03/15/2026	2,015,000	91.95	1,852,793	1.18
ETSY5253820	ETSY INC 09/27 0.125	09/01/2027	2,067,000	88.35	1,826,195	1.17
NRG4841181	NRG ENERGY INC COMPANY GUAR 06/48 2.75	06/01/2048	1,678,000	106.95	1,794,621	1.15
	VERINT SYSTEMS INC 04/26 0.25	04/15/2026	2,149,000	83.45	1,793,341	1.15
	Total SA MTN	12/02/2022	1,800,000	99.49	1,790,874	1.15
TRIP5401900	TRIPADVISOR INC 04/26 0.25	04/01/2026	2,103,000	80.40	1,690,812	1.08
IRWD5031493	Ironwood Pharmaceuticals	06/15/2024	1,662,000	100.94	1,677,581	1.07

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	COUPA SOFTWARE INC SR UNSECURED 06/25 0.125	06/15/2025	1,932,000	84.85	1,639,302	1.05
SPLK4888159	SPLUNK INC.	09/15/2025	1,854,000	88.24	1,636,005	1.05
JAZZ4671051	Jazz Investments I Ltd.	08/15/2024	1,700,000	94.69	1,609,688	1.03
	MARRIOTT VACATIONS WORLDWIDE 01/26 0	01/15/2026	1,734,000	92.39	1,602,093	1.02
BXMT5386837	BLACKSTONE MORTGAGE TR 03/27 5.5	03/15/2027	1,872,000	85.31	1,597,050	1.02
LRN5261538	STRIDE INC 09/27 1.125	09/01/2027	1,563,000	101.95	1,593,479	1.02
NSIT5032129	Insight Enterprise Co.	02/15/2025	1,217,000	128.00	1,557,760	1.00
	VARONIS SYSTEM INC SR UNSECURED 08/25 1.25	08/15/2025	1,391,000	110.95	1,543,315	0.99
MTSI5395245	M/A COM TECH SOLUTIONS SR UNSECURED 03/26 0.25	03/15/2026	1,615,000	94.38	1,524,156	0.97
PSN5263204	PARSONS CORP 08/25 0.25	08/15/2025	1,473,000	103.40	1,523,082	0.97
	BOX INC 01/26 0	01/15/2026	1,376,000	110.60	1,521,856	0.97
SAVE5177012	SPIRIT AIRLINES INC 05/26 1	05/15/2026	1,770,000	85.65	1,516,005	0.97
ALTR5428629	ALTAIR ENGINEERING INC SR UNSECURED 144A 06/27 1.75	06/15/2027	1,673,000	89.65	1,499,845	0.96
Z4987262	Zillow Group Inc.	05/15/2025	1,627,000	91.40	1,487,078	0.95
PRFT5297217	PERFICIENT INC SR UNSECURED 144A 11/26 0.125	11/15/2026	1,972,000	74.50	1,469,140	0.94
	JETBLUE AIRWAYS CORP 04/26 0.5	04/01/2026	2,054,000	71.50	1,468,610	0.94
	DROPBOX INC 03/28 0	03/01/2028	1,716,000	85.50	1,467,180	0.94
CNMD5423371	CONMED CORP SR UNSECURED 144A 06/27 2.25	06/15/2027	1,681,000	86.50	1,454,065	0.93
LITEV5374371	LUMENTUM HOLDINGS INC SR UNSECURED 144A 06/28 0.5	06/15/2028	1,813,000	80.20	1,454,026	0.93
	DEXCOM INC SR UNSECURED 11/25 0.25	11/15/2025	1,549,000	92.63	1,434,761	0.92
WGO5080708	WINNEBAGO INDS INC 04/25 1.5	04/01/2025	1,343,000	105.06	1,410,989	0.90
NCLH5304945	NCL CORPORATION LTD SR UNSECURED 144A 02/27 1.125	02/15/2027	2,230,000	63.13	1,407,737	0.90
	STMICROELECTRONICS NV SR UNSECURED REGS 08/25 0.0000	08/04/2025	1,400,000	100.33	1,404,606	0.90

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	Blackline Inc.	08/01/2024	1,347,000	103.94	1,400,072	0.90
GBX5401532	GREENBRIER COS INC SR UNSECURED 04/28 2.875	04/15/2028	1,735,000	78.95	1,369,783	0.88
	SPLUNK INC 06/27 1.125	06/15/2027	1,729,000	79.18	1,368,981	0.88
NICE5260827	NICE LTD SR UNSECURED 09/25 0.00000	09/15/2025	1,472,000	92.65	1,363,808	0.87
EXAS4806408	Exact Sciences Corp.	03/15/2027	1,902,000	69.69	1,325,411	0.85
ENPH5139638	ENPHASE ENERGY INC SR UNSECURED 03/26 0.00000	03/01/2026	1,117,000	115.90	1,294,603	0.83
LCIN5305873	LCI INDS INC 05/26 1.125	05/15/2026	1,507,000	85.90	1,294,513	0.83
FCN4874420	FTI Consulting Inc.	08/15/2023	752,000	165.46	1,244,259	0.80
NEE5089676	NEXTERA ENERGY PARTNERS SR UNSECURED 144A 11/25 0.0000	11/15/2025	1,218,000	100.30	1,221,602	0.78
	ON SEMICONDUCTOR CORP 05/27 0	05/01/2027	915,000	132.35	1,211,003	0.77
NVCR5301073	NOVOCURE LTD 11/25 0	11/01/2025	1,327,000	90.40	1,199,641	0.77
ALNY5474929	ALNYLAM PHARMACEUTICALS SR UNSECURED 144A 09/27 1	09/15/2027	1,222,000	97.60	1,192,672	0.76
	DROPBOX INC 03/26 0	03/01/2026	1,362,000	87.45	1,191,069	0.76
	DATADOG INC 06/25 0.125	06/15/2025	994,000	119.25	1,185,345	0.76
PODD5061895	INSULET CORP 09/26 0.375	09/01/2026	993,000	118.35	1,175,216	0.75
LYV5133128	LIVE NATION ENTERTAINMENT INC 02/25 2	02/15/2025	1,179,000	99.40	1,171,926	0.75
PSTG4824565	Pure Storage Inc.	04/15/2023	1,035,000	113.15	1,171,103	0.75
	RAPID7 INC SR UNSECURED 03/27 0.25	03/15/2027	1,533,000	76.37	1,170,689	0.75
EEFT4968461	Euronet Worldwide Inc.	03/15/2049	1,257,000	90.87	1,142,253	0.73
BKNG5180804	BOOKING HLDGS INC 05/25 0.75	05/01/2025	938,000	120.63	1,131,509	0.72
MDRX5107391	ALLSCRIPTS MISYS HEALTH 01/27 0.875	01/01/2027	885,000	127.50	1,128,375	0.72
POST5461276	POST HOLDINGS INC SR UNSECURED 144A 08/27 2.5	08/15/2027	1,129,000	99.25	1,120,533	0.72
PATK5319928	PATRICK INDUSTRIES INC COMPANY GUAR 144A 12/28 1.75	12/01/2028	1,485,000	72.88	1,082,194	0.69

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JBT5423388	JOHN BEAN TECHNOLOGIES CORP 05/26 0.25	05/15/2026	1,299,000	83.20	1,080,768	0.69
	SOFI TECHNOLOGIES INC SR UNSECURED 144A 10/26 0.0000	10/15/2026	1,542,000	68.45	1,055,461	0.67
LMCA5461165	LIBERTY MEDIA CORP LIBERTY MEDIA CORP	08/15/2027	1,129,000	93.05	1,050,535	0.67
	QIAGEN NV SR UNSECURED REGS 12/27 0.0000	12/17/2027	1,200,000	86.60	1,039,212	0.66
SNAP5359242	SNAP INC SR UNSECURED 144A 03/28 0.125	03/01/2028	1,488,000	67.50	1,004,400	0.64
	JAMF HLDG CORP 09/26 0.125	09/01/2026	1,224,000	81.55	998,172	0.64
SEDG5270493	SOLAR EDGE TECHNOLOGIES SR UNSECURED 09/25 0.00000	09/15/2025	906,000	109.35	990,711	0.63
TWTR4848772	Twitter Inc.	06/15/2024	963,000	101.70	979,371	0.63
LUV4982569	Southwest Airlines Co.	05/01/2025	852,000	114.53	975,753	0.62
PTON5385383	PELOTON INTERACTIVE INC SR UNSECURED 02/26 0.00000	02/15/2026	1,416,000	68.01	962,992	0.62
WK5042553	Workiva Inc.	08/15/2026	809,000	117.65	951,789	0.61
ETSY5434196	ETSY INC SR UNSECURED 06/28 0.25	06/15/2028	1,211,000	77.85	942,764	0.60
SLAB5197143	SILICON LABORATORIES INC 06/25 0.625	06/15/2025	791,000	118.88	940,341	0.60
RCL5456163	ROYAL CARIBBEAN CRUISES SR UNSECURED 144A 08/25 6	08/15/2025	869,000	105.85	919,837	0.59
	UBER TECHNOLOGIES INC SR UNSECURED 12/25 0.00000	12/15/2025	1,050,000	83.49	876,653	0.56
	BLACKLINE INC SR 03/26 0	03/15/2026	1,074,000	81.40	874,236	0.56
	TELADOC HEALTH INC SR UNSECURED 06/27 1.25	06/01/2027	1,147,000	73.63	844,536	0.54
	ZSCALER INC. SR UNSECURED 07/25 0.125	07/01/2025	632,000	127.85	808,012	0.52
DOCN5302746	DIGITALOCEAN HOLDINGS SR UNSECURED 144A 12/26 0.0000	12/01/2026	1,095,000	73.00	799,350	0.51
IACF4857901	IAC Financeco 3 Inc.	01/15/2030	896,000	88.25	790,720	0.51
	OKTA INC 06/26 0.375	06/15/2026	1,002,000	78.15	783,063	0.50
	Akamai Technologies Inc.	05/01/2025	762,000	102.10	778,002	0.50
TDOC5200163	LIVONGO HEALTH COMPANY GUAR 06/25 0.875	06/01/2025	922,000	83.90	773,558	0.49

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	JAZZ INV I LTD 06/26 2	06/15/2026	671,000	107.50	721,325	0.46
CABO5382820	CABLE ONE INC 03/26 0	03/15/2026	943,000	76.45	720,924	0.46
	REALOGY GROUP/CO ISSUER 06/26 0.25	06/15/2026	1,012,000	70.30	711,436	0.45
TNDM4987583	Tandem Diabetes Care Inc.	05/01/2025	776,000	90.45	701,892	0.45
IDCC5420068	INTERDIGITAL INC 06/27 3.5	06/01/2027	801,000	87.40	700,074	0.45
HALO5387960	HALOZYME THERAPEUTICS INC 03/27 0.25	03/01/2027	820,000	85.13	698,025	0.45
W5242095	WAYFAIR INC SR UNSECURED 10/25 0.625	10/01/2025	1,006,000	67.50	679,053	0.43
BAC4619581	Bofa Finance LLC MTN	05/01/2023	643,000	102.50	659,075	0.42
	Alteryx Inc.	08/01/2026	806,000	81.50	656,890	0.42
PANW4870414	Palo Alto Networks Inc.	07/01/2023	344,000	185.65	638,636	0.41
LITEV5098093	LUMENTUM HLDGS 12/26 0.5	12/15/2026	647,000	93.75	606,563	0.39
RPD4982643	RAPID7 INC SR NT 05/25 2.25	05/01/2025	586,000	100.48	588,814	0.38
ITRI5380147	ITRON INC 03/26 0	03/15/2026	734,000	78.60	576,924	0.37
	SHIFT4 PAYMENTS, INC. 08/27 0.5	08/01/2027	716,000	76.81	549,978	0.35
GH5313849	GUARDANT HEALTH INC 11/27 0	11/15/2027	747,000	73.27	547,336	0.35
	HALOZYME THERAPEUTICS IN SR UNSECURED 144A 08/28 1	08/15/2028	567,000	93.88	532,271	0.34
BURL5179963	BURLINGTON STORES INC SR UNSECURED 04/25 2.25	04/15/2025	546,000	95.50	521,430	0.33
RDFN5286711	REDFIN CORP 10/25 0	10/15/2025	827,000	59.19	489,501	0.31
Z5044854	ZILLOW GROUP INC SR UNSECURED 09/26 1.375	09/01/2026	495,000	97.65	483,368	0.31
UNTS5304943	UNITY SOFTWARE INC SR UNSECURED 144A 11/26 0.0000	11/15/2026	656,000	72.88	478,060	0.31
CAKE5201192	CHEESECAKE FACTORY (THE) SR UNSECURED 06/26 0.375	06/15/2026	604,000	77.81	469,988	0.30
CEN5382819	CERIDIAN HCM HOLDING INC 03/26 0.25	03/15/2026	576,000	81.15	467,424	0.30
CNMD4946892	Conmed Corp.	02/01/2024	424,000	110.10	466,824	0.30

This information should not be considered as a recommendation to purchase or sell a particular security. The holdings mentioned may change at any time and may not represent current or future investments.

There is no guarantee that the investment objective of the Fund will be achieved. Convertible securities generally tend to be of lower credit quality and the value of a convertible security generally increases and decreases with the value of the underlying common stock, but may also be sensitive to changes in interest rates. As interest rates rise, the values of convertible securities held by the Fund are likely to decrease and reduce the value of the Fund's portfolio. Credit risk is higher for the Fund because it invests primarily in convertible securities of companies with debt rated below investment grade. High yield investing poses additional credit risk related to lower-rated bonds.

Investors should carefully consider the investment objectives, risks, charges and expenses of a fund before investing. To obtain a summary prospectus or prospectus for this and other information, visit harborcapital.com or call 800-422-1050. Read it carefully before investing.

Shenkman Capital Management, Inc. is an independent subadvisor to the Harbor Convertible Securities Fund.

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